

2176

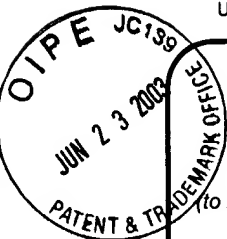
PTO/SB/21 (08-00)

Please type a plus sign (+) inside this box → ☐

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/457,109
	Filing Date	12/07/1999
	First Named Inventor	Darryl Rubin et al.
	Group Art Unit	2176
	Examiner Name	Nguyen, M.
Total Number of Pages in This Submission	Attorney Docket Number	003797.81487

RECEIVED
JUN 24 2003
Technology Center 2100

ENCLOSURES (check all that apply)			
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 4 PTO 1449 Forms; 57 U.S. patents; 1 published application; 3 foreign patent documents; 7 non-patent documents; Search Report for PCT/US 01/19919 on October 5, 2001; Search Report for PCT/US 00/33082 on February 15, 2001	
<table border="1"><tr><td>Remarks</td></tr></table>			Remarks
Remarks			

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Gary D. Fedorochko, Reg. No. 35,509
Signature	
Date	June 23, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: <input type="text"/>			
Typed or printed name			
Signature		Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number 09/457,109
Filing Date 12/07/1999
First Named Inventor Darryl Rubin et al.
Examiner Name Nguyen, M.
Art Unit 2176
Attorney Docket No. 003797.81487

RECEIVED
JUN 24 2003
Technology Center 2100

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit
Account
Number

19-0733

Deposit
Account
Name

Banner & Witcoff, Ltd.

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 0)

2. EXTRA CLAIM FEES

Total Claims - ** = X =
Independent Claims - ** = X =
Multiple Dependent X =

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath or cover sheet.	
1052	50	2052	25	Surcharge - late provisional filing fee	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

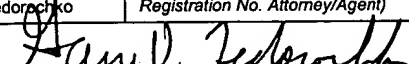
Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180.00)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Gary D. Fedorochko	Registration No. Attorney/Agent	35,509	Telephone	(202) 824-3000
Signature				Date	June 23, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form.
Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED
JUN 24 2003
Technology Center 2100

In re Application of:)	Group Art Unit: 2176
Darryl. Rubin et al.)	Examiner: Nguyen, M.
Serial Number: 09/457,109)	Attorney Docket No. 003797.81487
Filed: 12/07/1999)	Confirmation No. 7310

For: COMPUTER USER INTERFACE ARCHITECTURE WHEREIN BOTH
CONTENT AND USER INTERFACE ARE COMPOSED OF DOCUMENTS
WITH LINKS

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with 37 C.F.R. §1.97 and §1.98, enclosed are PTO Forms 1449 listing art for consideration by the Examiner and a copy of each of the identified documents.

The references contained in this information disclosure statement were cited in Information Disclosure Statements filed in the U.S. Patent and Trademark Office by Applicants in related applications U.S. Serial Nos. 09/768,813; 09/456,127; 09/556,865; 09/456,975; 09/456,249; 09/603,781; 09/605,878; 09/604,810; 09/456,952; 09/455,807; 09/455,754; 09/455,806; 09/455,808 and 09/455,805.

Three of the documents (U.S. Patent Nos. 5,920,694 and 5,986,665 and Patent Abstracts of Japan, Publication No. 11327789) were cited by the International Searching Authority for corresponding International Application No. PCT/US 01/19919 on October 5, 2001. A copy of this search report is also enclosed.

Information Disclosure Statement

Serial No. 09/457,109

Page 2

Three of the documents (WO 97 22109A, the Schilit and Price article, and the Graefe article) were cited by the International Searching Authority for corresponding International Application Nos. PCT/US 00/33129 on February 14, 2001 and PCT/US 00/33082 on February 15, 2001. The three references, along with the search report for International Application No. PCT/US 00/33129, have already been made of record in the above-identified application. We are merely submitting a copy of the search report for PCT/US 00/33082.

The submission of the listed documents is not intended as an admission that any such documents constitute prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed documents as a competent reference against the claims of the present application.

Consideration of all information is respectfully requested.

The accompanying Information Disclosure Statement is being filed after the mailing date of a first Office Action on the merits. Therefore, authorization is given to charge Deposit Account No. 19-0733 for the \$180.00 fee. In the event there is a discrepancy with the fee, please charge or credit Deposit Account No. 19-0733. Further, the Commissioner is authorized to charge any additional fees that may be required or credit any overpayment to our Deposit Account No. 19-0733.

Information Disclosure Statement

Serial No. 09/457,109

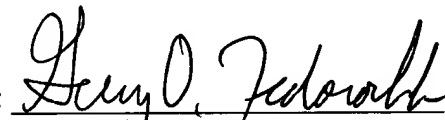
Page 3

It is respectfully requested that the Examiner fully consider each of the documents, initial the enclosed PTO Forms 1449 in the appropriate place to indicate that the documents have been considered, and return a copy of the initialed forms to the undersigned in accordance with MPEP Section 609.

Respectfully submitted,

Date: June 23, 2003

By:



Gary D. Fedorochko

Registration No. 35,509

Banner & Witcoff, LTD
1001 G Street, N.W.
Washington, D.C. 20001-4597
(202) 824-3000